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<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> (Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))	Attorney Docket No.	TIVO0020
	First Inventor or Application Identifier	Heaton et al.
	Title	Method and Apparatus for Controlling At Least One ...
	Express Mail Label No.	EL556464649US

<b>APPLICATION ELEMENTS</b> See MPEP chapter 600 concerning utility patent application contents	<b>ADDRESS TO:</b> Assistant Commissioner for Patents Box Patent Application Washington, DC 20231
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1. <input checked="" type="checkbox"/> * Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing) 2. <input checked="" type="checkbox"/> Specification [Total Pages 31] (preferred arrangement set forth below) - Descriptive title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to Microfiche Appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure 3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 7] 4. Oath or Declaration [Total Pages 2] a. <input checked="" type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. § 1.63(d)) (for continuation/divisional with Box 16 completed) i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).	5. <input type="checkbox"/> Microfiche Computer Program (Appendix) 6. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input type="checkbox"/> Computer Readable Copy b. <input type="checkbox"/> Paper Copy (identical to computer copy) c. <input type="checkbox"/> Statement verifying identity of above copies
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ACCOMPANYING APPLICATION PARTS	
7. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s))	
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13. <input type="checkbox"/> * Small Entity Statement(s) (PTO/SB/09-12)	<input type="checkbox"/> Statement filed in prior application, Status still proper and desired
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15. <input checked="" type="checkbox"/> Other: Appendix A	

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Prior application information Examiner \_\_\_\_\_ Group / Art Unit: \_\_\_\_\_

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**STATEMENT CLAIMING SMALL ENTITY STATUS  
(37 CFR 1.9(f) & 1.27(b))--INDEPENDENT INVENTOR**

Docket Number (Optional)  
TIVO0020

Applicant, Patentee, or Identifier: Heaton et al.

Application or Patent No.: Unassigned

Filed or Issued: Herewith

Title: Method and Apparatus for Controlling At Least One Set-Top Box

As a below named inventor, I hereby state that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees to the Patent and Trademark Office described in:

- ☒ the specification filed herewith with title as listed above.  
☐ the application identified above.  
☐ the patent identified above.

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Separate statements are required from each named person, concern, or organization having rights to the invention stating their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

Kurt Heaton  
NAME OF INVENTOR

Kurt Heaton  
Signature of inventor

3/15/00  
Date

Alan Waterman  
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Date

**STATEMENT CLAIMING SMALL ENTITY STATUS  
(37 CFR 1.9(f) & 1.27(c))--SMALL BUSINESS CONCERN**

Docket Number (Optional)  
TIVO0020

Applicant, Patentee, or Identifier: Heaton et al.  
Application or Patent No.: \_\_\_\_\_  
Filed or Issued: Herewith  
Title: Method and Apparatus for Controlling at Least One Set-Top Box

I hereby state that I am

- ☐ the owner of the small business concern identified below:  
☒ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF SMALL BUSINESS CONCERN TiVo, Inc.

ADDRESS OF SMALL BUSINESS CONCERN 894 Ross Drive, Sunnyvale, CA 94089

I hereby state that the above identified small business concern qualifies as a small business concern as defined in 13 CFR Part 121 for purposes of paying reduced fees to the United States Patent and Trademark Office. Questions related to size standards for a small business concern may be directed to: Small Business Administration, Size Standards Staff, 409 Third Street, SW, Washington, DC 20416.

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- ☒ the specification filed herewith with title as listed above.  
☐ the application identified above.  
☐ the patent identified above.

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Each person, concern, or organization having any rights in the invention is listed below:

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Separate statements are required from each named person, concern or organization having rights to the invention stating their status as small entities. (37 CFR 1.27)

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NAME OF PERSON SIGNING James M. Barton

TITLE OF PERSON IF OTHER THAN OWNER Chief Technical Officer & V.P of Engineering

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SIGNATURE [Signature] DATE March 15, 2000

## METHOD AND APPARATUS FOR CONTROLLING AT LEAST ONE SET-TOP BOX

### Technical field

5 This invention relates to controlling set-top boxes in a television-related environment.

### Background Art

Originally, televisions possessed a simple user interface containing a channel selector, volume control and little else. Since the advent of video tape technology, these controls have been extended far beyond this.

10 A standard approach to controlling what are now known as set-top boxes is the use of hand held remote control devices using Infra-Red (IR) signals to communicate with set-top boxes and recently with additional devices, often found in home entertainment centers. There have been set-top control units also controlling other devices with this IR blasting technology which are not hand held.

15 To date, these IR blasters have been driven by an embedded microprocessor using an embedded non-volatile memory chip to store the control tables specifying the IR signaling required for communication with various devices. Such devices are now pervasive throughout the consumer electronics of many continents.

20 However, such devices have some limitations that often cause significant frustration. Non-volatile memory chips used in such IR controllers offer limited amounts of memory, usually no more than one megabit. Such small memories are limited in terms of holding the rapidly growing database of distinctive device protocols in use by the various consumer devices. It is a common problem that a

25 device operating in the correct frequency range cannot generate the necessary

signaling to adequately communicate with a newly purchased device such as a set-top box component in a home entertainment center.

Another problem often encountered, even when a device may be able to add the control information to communicate with a new device, is that there is no readily supported mechanism by which that information can be programmed into the non-volatile memory chip.

Another problem encountered is the inability of contemporary IR controllers to select channels located on both A and B switch settings of a set-top box. Worse yet, existing IR controllers are further unable to select channels on these two setting as well as support the C band setting used in some satellite television services.

Another problem encountered in contemporary IR controllers is that different set-top boxes require essentially syntactic presentation controls. These set-top boxes have different responses to signals, often requiring specific minimum delays between digits or symbols being IR blasted to them. Another similar problem comes in the use of enter or cancel keys to delimit ending an IR blasting sequence. Another similar problem is establishing how many digits are expected to define a channel. In each of these problems, variations among different set-top boxes lead to a lack of uniformity challenging the patience of consumers, because today, there is no solution to any of these problems.

To summarize, what is needed includes IR blasting controls not limited to a small non-volatile memory capacity for holding signaling details for various units controlled by IR blasting. What is further needed includes a mechanism or method for rapidly updating an existing IR blaster control unit to incorporate signaling details for new devices. What is also further needed is a flexible ability to support channel selection on A/B switch and A/B/C switch controlled set-top box systems. What is further needed is a method of solving essentially syntactic presentation control problems.

Disclosure of the invention

Various embodiments solve all of these problems.

Certain embodiments include a method and apparatus for providing control of a set-top box through IR blasting control by a system containing at least one computer: the system is coupled to a mass storage system. These embodiments include providing an IR control database residing on the mass storage system where the IR control database contains at least one IR control entry, providing an IR control packet where the IR control packet is generated from a first IR control entry of the IR control database, and providing control to the set-top box by serial transmission of the IR control packet.

These embodiments are advantageous in providing an IR control database residing on the mass storage system. Such solutions remove any memory constraints on the size of the IR control database, thus solving the memory constraint problem of the prior art.

Certain further embodiments additionally include the following: Providing an IR control database residing on the mass storage system, where the IR control database contains at least one IR control entry; providing reception of an IR control entry to create a received IR control entry; and providing insertion of the received IR control entry to create the first IR control entry of the IR control database.

Such embodiments are advantageous in supporting the reception of updates and additions to the IR control database remotely. By way of example, such embodiments facilitate IR blasting control code signaling protocol upgrades as new equipment is integrated into a home entertainment system

Certain further embodiments additionally include the following: Providing a raw IR control library residing on the mass storage system, where the raw IR control

library contains a first raw IR control entry; providing library parsing of the first raw IR control entry of the raw IR control library to create a processed first IR control entry; and providing communication of the processed first IR control entry to create the first IR control entry of the IR control database.

- 5 Such embodiments advantageously support a raw IR control library to be acquired, shared and updated with other manufacturers.

Certain other further embodiments additionally include the following: Providing a prototype IR control database residing on the mass storage system, where the prototype IR control database contains a first prototype IR control entry; providing  
10 a control code search accessing the prototype IR control database to select a first prototype IR control entry; and generating from the first IR control entry the first raw IR control entry of the raw IR control library.

Such embodiments are advantageous in providing a systematic interface between a prototype laboratory and the raw IR data library. Such an interface  
15 permits automated updating of the raw IR data library, which in turn supports automated updating of the IR control database through the library parsing mechanism.

Certain other further embodiments additionally include the following: Providing a corrections-additions database residing on the mass storage system, where the  
20 corrections-additions database contains a first correction data entry; and providing the library parsing of the first correction data entry and of the first raw IR control entry to create the processed first IR control entry.

Such embodiments advantageously support corrections and additions to the control code tables which may be the result of continued testing beyond a  
25 prototype initial coding situation.

Certain other further embodiments additionally include the following: The processed first IR control entry includes a first processed IR control entry syntax specification; and the first IR control entry of the IR control database includes a first IR control syntax specification. Such embodiments advantageously support including essentially syntactic presentation controls into an IR control entry.

Certain further embodiments comprise a first IR control syntax specification which is included in the first IR control entry of the IR control database, including a number of digits in a channel specification. Such embodiments advantageously support specification of the number of digits in a channel specification.

Certain other further embodiments comprise a first IR control syntax specification entry which is included in the first IR control entry of the IR control database, including a delimiter specification ending an IR blast. Such embodiments advantageously support delimiter specifications ending an IR blast.

Certain other further embodiments include the first IR control syntax specification entry which is included in the first IR control entry of the IR control database, including a delay specification between digits of an IR blast. Such embodiments advantageously support specifying delays between digits of an IR blast.

Certain other further embodiments include the first IR control syntax specification entry included in the first IR control entry of the IR control database including a prefix specification. Such embodiments advantageously support specifying prefix symbols in an IR blast.

Certain further embodiments comprise a prefix specification which is included in the first IR control syntax specification entry which is, in turn, included in the first IR control entry of the IR control database and which comprises an A/B switch prefix selection. Such embodiments advantageously support channel selection of both A channels and B channels.



Certain other further embodiments comprise a prefix specification which is included in the first IR control syntax specification entry which is, in turn, included in the first IR control entry of the IR control database and which comprises an A/B/C switch prefix selection. Such embodiments advantageously support additional channel selection of C channels.

Certain other further embodiments additionally comprise a system containing one computer. Such embodiments advantageously include the ability to develop prototyping IR blasting codes in an environment containing the standard (lower cost) consumer version to insure compatibility.

Certain other further embodiments additionally comprise a system containing a first computer and a second computer. The mass storage system comprises a first mass storage system coupled to the first computer and a second mass storage system coupled to the second computer. The IR control database comprises a first instance of the IR control database residing on the first mass storage system coupled to the first computer. The raw IR control library resides on the second mass storage system containing the first raw IR control entry. The system provides reception of the IR control entry to create a received first IR control entry using a method which includes providing reception of the IR control entry to create the received first IR control entry at the first computer.

Such embodiments advantageously support distribution of library parsed data entries from a raw IR control library which resides on the second mass storage system coupled to the second computer to IR control database instances which resides on the first mass storage system coupled to the first computer. Note that in certain embodiments, the second computer is a server or distributor for IR control blasting code updates to numerous first computers in various consumers' set-top box control units.

Certain other further embodiments additionally include the system further containing a server computer system which provides reception of the IR control

entry to create a received first IR control entry and that includes providing reception of the IR control entry to create a received first IR control entry by the server computer system.

Communication of the processed first IR control entry by the second computer to create the first IR control entry of the IR control database includes the following. Providing communication of the processed first IR control entry by the second computer to create the first IR control entry by the server computer system; and providing communication of the processed first IR control entry by the server computer system to create the first IR control entry of the first instance of the IR control database by the first computer.

Such embodiments advantageously provide a partitioning of the second computer and second mass storage system holding the raw IR control library from a server computer actually distributing IR control code updates to at least one first computer.

#### Brief Description of the Drawings

Figure 1 depicts a system controlling a set-top box in accordance with certain embodiments;

Figure 2 depicts a system block diagram in accordance with certain embodiments;

Figure 3 depicts data flow regarding IR control of external set-top boxes in accordance with certain embodiments;

Figure 4 depicts a refinement of Figure 1 regarding IR control of external set-top boxes in accordance with certain embodiments;

Figure 5 depicts a system controlling a set-top box using a prototype test unit in accordance with certain embodiments;

Figure 6 depicts a network with server system **104**, a prototype set-top control system **102** and multiple set-top control systems **100-1** to **100-4**; and

Figure 7 depicts a hand held remote control unit **120** in accordance with certain embodiments.

Detailed Description of the Invention

Figure 1 depicts a system **100** including set-top control unit **110** controlling an external set-top box **200** in accordance with certain embodiments.

Certain embodiments are implemented as an advanced set-top unit **110** packaged in a shipping container with cables, accessories, and a remote control **120**. Certain further embodiments provide control of cable and satellite set-top boxes **200** by IR control **114**. Certain embodiments support some satellite boxes **200** by serial control **114**. In certain embodiments, remote control unit **120** may be a TiVo Remote Control Unit, manufactured by or for TiVo, Inc. of San Jose, California.

The television source **202** can be from one of four sources: 1) a roof-top antenna or rabbit ears receiving terrestrial analog broadcast, 2) a buried coaxial cable delivering analog and digital cable signals, 3) a satellite antenna receiver digital satellite broadcast, and 4) a roof-top antenna receiving terrestrial digital broadcast.

If the signal source **202** is from a roof-top or rabbit ear antenna, the user connects the cable from the antenna directly to the RF input on the Set-top control unit. The internal tuner in the Set-top control unit set-top box is used to select which program to view or record. The viewer selects which channel to tune to by using the remote control unit **120**. After the selection is made, the Set-top control unit tunes its internal tuner to receive the channel selected.

If the signal source **202** is from a buried cable from a cable provider, the user can choose to 1) connect the cable to a analog set-top box, 2) connect the cable to a digital set-top box, or 3) connect the cable directly to the Set-top control unit. Note that in certain embodiments, the cable may be fiber optic. In certain other  
5       embodiments, the cable may be coaxial cable.

If the signal source **202** is from cable and the user has an analog cable set-top box, the cable is connected to the cable set-top box. Note that in certain embodiments, the cable may be fiber optic. In certain other embodiments, the cable may be coaxial cable. The cable set-top box contains an internal tuner that  
10       will be tuned to the channel the viewer wishes to view or record. The cable set-top box **200** is then connected **118** to the Set-top control unit **110** in one of two ways. 1) The channel selected is modulated onto either channel 3 or 4 and output on a RF connector. The RF connector is connected **118** to the RF input connector on the Set-top control unit **110**. And the internal tuner **640** (see Figure  
15       **2**) in the Set-top control unit **110** is tuned to channel 3 or 4 to receive the channel. 2) The channel selected is output on either a composite or s-video connectors. These connectors are connected **118** to composite or s-video inputs on the Set-top control unit **110**. If available, the preferred connection **118** is using s-video; if s-video is not provided then the preferred connection **118** is composite  
20       in certain embodiments. Both s-video and composite provide higher quality connections **118** than RF in certain embodiments.

The viewer selects which channel to tune to by using the Remote Control Unit **120**. After the selection is made, the set-top control unit **110** transmits an IR signal **114** to the Cable set-top box **200** and the Cable set-top box **200** tunes its  
25       internal tuner to the channel. The channel is passed to the set-top control unit **110** by either the RF, composite, or s-video outputs. The set-top control unit **110** must be configured to receive the channel from its RF, composite, or s-video inputs. If the RF input is selected, the Set-top control unit **110** must also tune its

internal tuner **640** (see Figure 2) to either channel 3 or 4, depending upon which channel the cable box **200** is configured to receive the signal.

If the signal source **202** is from cable and the user has a digital cable set-top box **200**, the cable **118** is connected to the digital cable set-top box **200**. Note that in certain embodiments, the cable may be fiber optic. In certain other  
5       embodiments, the cable may be coaxial cable. The digital cable set-top box **200** contains an internal tuner that will be tuned to the channel the viewer wishes to view or record. The cable set-top box **200** connects **118** to the set-top control unit **110** in one of two ways. 1) The selected channel is modulated on either channel  
10       3 or 4 and output on a RF connector. The RF connector is connected to the RF input connector on the Set-top control unit **110**. And the internal tuner in the Set-top control unit **110** is tuned to channel 3 or 4 to receive the channel. 2) The channel selected is output on either a composite or s-video connectors. These connectors are connected to composite or s-video inputs on the Set-top control  
15       unit **110**. Using the s-video connectors in certain embodiments provides the highest quality connection.

The viewer selects which channel to tune to by using the TiVo Remote Control Unit **120**. After the selection is made, the Set-top control unit **110** transmits **114**  
an IR signal to the digital cable set-top box **200** and the digital cable set-top box  
20       **200** tunes its internal tuner to the channel. The channel is passed **118** to the TiVo set-top unit **110** by either the RF, composite, or s-video outputs. The TiVo set-top unit **110** must be configured to receive **118** the channel from its RF, composite, or s-video inputs. If the RF input is selected, the Set-top control unit **110** must also tune its internal tuner **640** (see Figure 2) to either channel 3 or 4, depending  
25       on which channel the digital cable box **200** is configured to receive the signal.

If the signal source **202** is from a satellite antenna, the satellite receiver digital set-top box **200** is connected **118** to the Set-top control unit **110** in an identical fashion as described above for a digital cable set-top box **200**. As many satellite

receivers also require a cable or terrestrial broadcast antenna to receive local channels, the preferred connection for a satellite receiver is using the s-video connectors in certain embodiments for the highest quality connection. Such connection also leaves the RF input connection on the Set-top control unit **110** free to attach cable or roof-top antenna to receive local channels.

Some digital satellite receivers support serial data ports. If so, rather than use IR to control the satellite receiver set-top box **200**, the serial output port **508** (see Figure 2) on the Set-top control unit **110** can be connected **114** to the serial data port on the satellite receiver.

If the signal source **202** is from a digital terrestrial antenna and the digital terrestrial set-top box **200** provides an option to output standard NTSC compatible video on either RF, composite, or s-video outputs. Then one of these outputs can be connected **118** to the corresponding input on the Set-top control unit **110**. The digital terrestrial set-top box **200** is connected **118** to the Set-top control unit **110** in an identical fashion as described above for a digital cable set-top box **200**. The connection **118** using s-video provides the highest quality connection in certain embodiments.

Certain embodiments provide server based services through modem access **116**. Certain further embodiments provide server based services that include TiVo™ Services through modem access **116**.

Certain further embodiments support at least some of the following features: Records television programming in digital form on at least one internal hard disk drive. Certain further embodiments support 14 hours of recorded programming and certain other further embodiments support 30 hours of programming. Certain embodiments support digital recording of audio-video content including random access to titled programming. Certain further embodiments support digitally accurate fast forward, rewind, slow motion, frame forward, frame back and high-quality freeze frame. Certain embodiments provide a 30 minute buffer of live

programming being viewed enabling view to pause, rewind and fast forward live TV.

Certain embodiments provide instant replay of live or recorded sporting events and educational programs. Certain embodiments provide a bookmarking feature to record to save the rest of a current program being watch to view later. Certain  
5     embodiments provide programmable scheduling by time and channel, just like a VCR. Certain embodiments allow viewers to save recorded programs to their VCRs. Certain embodiments provide selectable recording quality.

Certain embodiments provide a RF input **644** with tuner **640**, which is compatible  
10     with cable and terrestrial broadcast TV (see Figure **2**). Certain embodiments provide selectable RF input on channel 3, RF input on channel 4, composite or S-Video input support for analog cable, digital cable, or satellite set-top boxes.

In certain embodiments, a set-top control unit **110** electronics are housed in a metal enclosure approximately 17 inch wide, 13 inches deep and 4 inches high.  
15     The receiver supports one disk drive, providing up to 14 hours of program storage. In certain other embodiments, a 30 hour model of Set-top control unit **110** is identical to the 14 hour model except it supports two disk drives, providing up to 30 hours of program storage.

Television **130** is fed **132** from set-top control unit **110** in certain embodiments.  
20     In certain further embodiments, connection **132** feeds signals from a composite video output **550** (see Figure **2**) of set-top control unit **110**. In certain other further embodiments, connection **132** feeds signals from an S-video output **548** (see Figure **2**) of set-top control unit **110**.

In certain embodiments, remote control unit **120** provides a wireless **122** control  
25     television **130**.

Figure 2 depicts a system block diagram set-top control unit **110** in accordance with certain embodiments.

In certain embodiments, the electronics of set-top control unit **110** consists of a main System Board **400**, an IR Controller Board (IR Ctrl) **620**, a mass storage system **600**, a Fan, and a Power Supply. Set-top control unit **110** supports one left-right stereo pair audio input **532-534**, one composite video input **522**, one S-Video input **524**, one RF input **644** and one RF output **642** modulated onto either channel 3 or 4.

Mass storage system **600** may include one or two IDE Disk Drives in certain embodiments. Set-top control unit **110** mass storage system **600** supports 1 or 2 IDE disk drives providing storage for up to 30 hours of programming.

The set-top control unit **110** provides at least one left-right stereo pair audio outputs **544-546**, at least one composite video output **550**, at least one S-Video output **548**, at least one serial input/output **508**, at least one IR blaster output **622**, and at least one modem input/output **510** which may be connected **116** to an associated phone line in certain embodiments. In certain further embodiments, a 33.3Kbit modem is used.

The electronics within the area denoted by **400** is located on the System Board. The IR Controller Board (IR Ctrl) **620** is manufactured as part of the System Board, detached during assembly, and electrically connected with a ribbon cable. This allows the IR Controller board **620** to be physically attached to the front panel of the enclosure. The disk drive(s) in mass storage system **600** are connected by a ribbon cable **564** to the System Board **400**. The Fan is connected to the System Board **400** with a cable **562**. The Power Supply is connected to the System Board **400** and mass storage system **600** with power cables.

The system board **400** can be generally divided into 1) subsystems that convert analog video and audio from analog to digital data, 2) subsystems that process



digital audio and video data, and 3) subsystems that convert digital data back to analog.

The Tuner subsystem **640** and video and audio input subsystem **620** accept as input standard analog video and audio signals from set-top boxes, roof-top  
5 antennas, or rabbit ear antennas. The video and audio input subsystem **620** converts these signals into digital media streams.

The digital media streams **536** and **502** are processed and stored in the mass storage system **600** by Media Switch **560** and Processor Subsystems **500**. Media  
10 streams **542** selected by the user to view are retrieved **564** from the mass storage system **600** by the Media Switch **560** and Processor Subsystem **500**. Retrieved media stream **542** is converted from digital to analog by the Video and Audio Output Subsystem **540**. Media Switch **560** and Processor Subsystem **500** also perform miscellaneous house keeping functions such as temperature monitoring and fan control **562**. The Security Microprocessor **580** performs  
15 system identification and authentication for security purposes.

The System Board partitions into CPU, Input, and Output Subsystems. Each subsystem will be discussed in more detail below.

The Processor subsystem **500** includes the following in certain embodiments. The CPU is an IBM Power PC. The boot ROM is a 1 mega-bit Flash. The main  
20 memory is 4 meg x 32-bit, implemented using two 4096kx16-bit EDO RAMs. The IBM serial port is multiplexed to interface to the IR Controller Board and the Security Microprocessor. A second serial UART implements the external serial port that controls DSS Receivers. The modem is implemented with a Rockwell chipset and has an attached 1 mega-bit Flash that contains its microcode. The  
25 Media Switch ASIC controls multimedia streams to and from the disk drive. It also implements a number of system functions including the IDE disk drive controller, fan control and i2C bus control. The CPU Subsystem has a battery backed-up real-time clock and a temperature sensor interfaced to the i2C bus.

The i2C bus is also used to control **502** Video and Audio Input system **620** and to control **506** Video and Audio Output Subsystem **540**.

The Video and Audio Input Subsystem **620** inputs video and audio from I/O connectors, converts it from analog to digital and encodes it using MPEG2 compression. Channel selection is performed with a tuner **640** when the RF input is selected. The video and audio are converted from analog to digital and then passed to a compression engine. In certain embodiments, only one video/audio source can be selected at a time to be processed by the compression engine.

The video compression is performed with an MPEG encoder chip set in certain embodiments. In certain embodiments, an MPEG encoder chip set coupled with RAM memories performs video compression. In certain further embodiments, the MPEG encoder chip set is composed of exactly one integrated circuit. A Sony MPEG2 encoder chip performs video compression in certain further embodiments. A DSP is used in certain embodiments to perform audio compression. In certain further embodiments, a DSP from Analog Devices is used to perform audio compression.

The Video and Audio Output Subsystem **540** decompresses video and audio cached on mass storage system **600**, converts it back into analog signals, and drives the output connectors. Certain embodiments of set-top control unit **110** support at least one stereo pair of audio output, at least one composite video outputs, at least one S-Video output and at least one RF output modulated onto either channels 3 or 4. Certain further embodiments of set-top control unit **110** support at least two stereo pair of audio output and at least two composite video outputs.

The compressed video/audio stream **542** is transferred to an MPEG2 decoder chip set for decoding in certain embodiments. In certain further embodiments the MPEG decoder chip set is coupled to RAM memory. The compressed

video/audio stream **542** is transferred to an IBM MPEG2 decoder chip with coupled RAM memory for decoding in certain further embodiments.

The uncompressed digital video, output by the MPEG decoder chip set, is sent to a video encoder to convert the video back into analog form before driving the video output connectors in certain embodiments. In certain further embodiments, a Phillips video encoder chip performs the conversion. Audio is mixed with user interface sound effects before driving the audio output connectors in certain embodiments. Audio is converted from digital into analog and then mixed with user interface sound effects before driving the audio output connectors in certain further embodiments.

The IR Controller Board **620** contains an IR Microcontroller, an IR Photo receiver module, and LED's indicators. IR controller board **620** is connected **514** to the System Board with a ribbon cable that connects the IR Microcontroller to the Power PC using the Power PC's serial port in certain embodiments.

Set-top control unit **110** mass storage system **600** supports two disk drive bays in certain embodiments. The 14 hour Set-top control unit **110** has one 3-1/2 inch IDE disk drives in its mass storage system **600**, supporting up to 14 hours of programming. The 30 hour Set-top control unit **110** has one or two 3-1/2 inch IDE disk drives in its mass storage system **600**, supporting up to 30 hours of programming. The power for the disk drives is connected directly from the power supply. The IDE interfaces of the drives are connected **564** to the System board with a flat ribbon cable.

Note that in certain embodiments, IR control codes are stored in an IR control code database **1100** (see Figure 3) residing on mass storage system **600**.

Figure 3 depicts data flow regarding IR control of external set-top boxes in accordance with certain embodiments.

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In certain embodiments, IR control database **1100** resides on the mass storage system **600** and contains at least one IR control entry. A first IR control entry is accessed **1102** to IR control generator **1110**. IR control generator **1110** processes the IR control entry and sends **1112-1122** it to be prepared **1130** for presentation **1132** to a serial device **1140**. Serial device **1140** serially transmits this presented information as control signals to an external set-top box.

In certain further embodiments, timing generation **1120** is performed before **1122** it is prepared **1130** for presentation **1132** to a serial device **1140**. In certain embodiments, preparation **1130** includes processing similar to the "C" programming language function "sprintf". In certain further embodiments, preparation **1130** includes multiplexing serial streams to be sent to serial device **1140**. In certain further embodiments, preparation **1130** includes queuing various multiplexed serial streams to be sent to serial device **1140**.

In certain embodiments, the serial device **1140** includes at least one IR controller. In certain further embodiments, the serial device **1140** further includes a second serial device physical transport layer, which may include either wireline or wireless transport mechanisms.

In certain embodiments, raw IR control library **1180** resides on the mass storage system **600** and contains at least one raw IR control entry. A first raw IR control entry is accessed **1182** by a library parsing operation **1190** to create a processed first IR control entry. The processed first IR control entry is communicated **1192** and received **1192** to create a received first IR control entry. The received first IR control entry is inserted into IR control database **1100** as an IR control entry of the IR control database **1100**.

In certain further embodiments, first raw IR control entry is accessed **1182** by a library parsing operation **1190** to create a processed first IR control entry syntactic specification. The processed first IR control entry syntactic specification

is communicated **1192** and received **1192** to create a received first IR control entry.

Syntactic specifications provide for the specification of many crucial forms of communication information in an IR control entry. This includes but is not limited to specification of how many digits are in a channel. This also includes but is not limited to specifying whether an enter or cancel key is used at the end of IR blasting sequence to delimit channels. This also includes but is not limited to specifying delays between transmission of digits or symbols. This also includes but is not limited to specifying prefix keys. Prefix keys are not digits, which may used to delimit switch settings in certain embodiments. Many set-top boxes have an A/B switch, often with channels on both A and B switch settings. Some set-top boxes supporting satellite reception further have a C-band switch setting. This also includes but is not limited to specifying postfix keys. Postfix keys are not digits which alter the interpretation of the preceding IR blasting sequence.

Note that a syntactic specification may be the entire IR control entry, or a part of the entry.

This also includes but is not limited to syntactic specifying IR control entries further referencing other syntactic specifying IR control entries. This supports development of complex blasting codes to perform essentially macro operations.

In certain further embodiments, the first raw IR control entry is accessed **1184** by raw data IR generator **1200** to create a raw IR control packet, which processes the raw IR control packet and sends **1202-1122** it to be prepared **1130** for presentation **1132** to a serial device **1140**. Serial device **1140** serially transmits this presented information as control signals to an external set-top box.

In certain further embodiments, timing generation **1120** is performed before **1122** it is prepared **1130** for presentation **1132** to a serial device **1140**. In certain embodiments, preparation **1130** includes processing similar to the "C"

programming language function "sprintf". In certain further embodiments, preparation **1130** includes multiplexing serial streams to be sent to serial device **1140**. In certain further embodiments, preparation **1130** includes queuing various multiplexed serial streams to be sent to serial device **1140**.

5 In certain embodiments, a prototype IR control database **1150** resides on mass storage system **600** containing at least one prototype IR control entry. A control code search **1160** accessing **1152** a first prototype IR control entry creates a first raw IR control entry for raw IR control library **1180**. In certain further embodiments, the first prototype IR control entry is accessed **1154** by timing  
10 interface **1160** to create a first IR control packet, which then follows the same data flow **1122** as has been previously described. Note that in certain further embodiments, the prototype IR control database is compatible with the PRONTO device database, based upon the PRONTO products manufactured by Philips.

Figure 4 depicts a refinement of Figure 1 regarding IR control of external set-top  
15 boxes in accordance with certain embodiments. Note that the entire discussion regarding Figure 3 is applicable to this figure and will not be repeated to simplify and focus the discussion on the additional elements of this figure.

In certain embodiments, corrections-additions database **1210** resides on mass storage **600** and may contain a first correction entry. In addition to the first raw  
20 IR control entry being accessed **1182** by library parsing operation **1190** to create a processed first IR control entry, there are additional activities in certain further embodiments. A first raw IR control entry is accessed **1182** and a first correction entry is accessed **1212** by a library parsing operation **1190** to create a processed IR control entry. This processed IR control entry may replace the processed first  
25 IR control entry which was generated based strictly upon accessing **1182** the raw IR control library **1180**. This processed IR control entry may be in addition to the processed first IR control entry which was generated based strictly upon accessing **1182** the raw IR control library **1180**.

Figure 5 depicts a system controlling a set-top box using a prototype test unit in accordance with certain embodiments.

This figure is a refinement of Figure 1, and shares all of the discussion of Figure 1. As such that discussion will not be repeated and the focus herein will be on the interaction of the new element, a prototype test unit **140** which is coupled **142** to an implementation of set-top control unit **110** and further coupled **144** to the IR control unit **120**.

In certain embodiments, a new IR control unit **120** may be encountered which possesses a distinctive control signaling protocol. Prototype test unit **140** receives the IR blasted codes from the remote control unit **120**, which it then formats and enters into the prototype IR control database **1150** (see Figures 3 and 4). Through a procedure of successive experimental steps, the IR control codes necessary to fully interface with the remote control unit **120** are discerned and entered into the prototype IR control database **1150**. Line **116** may then be used to transmit this updated or new information to other systems including set-top control units **110**, or servers, which in turn may distribute such updated or new information to other systems including set-top control units **110**.

Note that in almost all cases today, new set-top box units are shipped with a hand held remote control unit **120** which is specifically configured to work with the new set-top box unit. A user of a set-top box control unit as depicted in Figure 1 need only ship the hand held IR control unit **120** to a central site containing a system as in this figure. The system as shown in this figure determines the specific IR control signaling needed to interface with the new set-top box. The communication line **116** of the consumer unit of Figure 1 is then utilized to download the newly determined specific IR control signaling necessary to control their new set-top box unit.

Figure 6 depicts a network with server system **104**, a prototype set-top control system **102** and multiple set-top control systems **100-1** to **100-4**.

Continuing the discussion from the previous figure, this figure depicts an embodiment of the invention wherein multiple set-top control systems **100-1** to **100-4** share the advantages of access to prototype set-top control system **102**. In certain embodiments, server system **104** may only act to distribute updates on a data entry by data entry level. In certain further embodiments server system **104** may store the entire IR control database for downloading by any of the **100** systems.

Figure **7** depicts a hand held remote control unit **120** in accordance with certain embodiments.

Note that the remote control **120** specification is detailed in a document entitled "Remote Control Specification SRCU-00001-000 A" which is included as appendix A herein.

Although the invention is preferably described herein with reference to the preferred embodiment, one skilled in the art will readily appreciate that other applications may be substituted for those set forth herein without departing from the spirit and scope of the present invention. Accordingly, the invention should only be limited by the Claims included below.



Claims

1. A method for providing control of a set-top box with infrared (IR) signals, comprising the steps of:

providing an IR control database residing on a mass storage system,  
5 wherein said IR control database contains at least one IR control entry;

providing an IR control packet, wherein said IR control packet is generated from a first IR control entry of said IR control database; and

controlling said set-top box with said IR control packet.

2. The method of Claim 1, further comprising the steps of:

10 generating said IR control packet containing an IR control waveform from an IR waveform specification of said first IR control entry; and

transmitting said IR control packet, based upon said IR control waveform, to said set-top box;

15 wherein said first IR control entry of said IR control database contains said IR waveform specification.

3. The method of Claim 2, wherein said transmitting step further comprises providing multiplexed serial transmission of said IR control packet based upon said IR control waveform to said set-top box.

20 4. The method of Claim 2, wherein said transmitting step further comprises providing queued multiplexed serial transmission of said IR control packet based upon said IR control waveform to said set-top box.

5. The method of Claim 1, further comprising the steps of:

receiving an IR control entry to create a received IR control entry; and

25 inserting said received IR control entry to create said first IR control entry of said IR control database.

6. The method of Claim 5, further comprising the steps of:  
providing a raw IR control library residing on said mass storage system,  
wherein said raw IR control library contains a first raw IR control entry;  
parsing said first raw IR control entry of said raw IR control library to  
5 create a processed first IR control entry; and  
communicating said processed first IR control entry to create said first IR  
control entry of said IR control database.

7. The method of Claim 6, further comprising the step of:  
providing a raw IR control packet, wherein said IR control packet is  
10 generated from said first raw IR control entry of said raw IR control library;  
wherein said controlling step controls said set-top box by transmission of  
said raw IR control packet.

8. The method of Claim 6, further comprising the steps of:  
providing a prototype IR control database residing on said mass storage  
15 system, wherein said prototype IR control database contains a first prototype IR  
control entry;  
performing a control code search to access said prototype IR control  
database to select a first prototype IR control entry; and  
generating from said first IR control entry said first raw IR control entry of  
20 said raw IR control library.

9. The method of Claim 8, further comprising the step of:  
providing a timing interface wherein said first IR control packet is  
generated from said first prototype IR control entry of said prototype IR control  
database.

10. The method of Claim 6, further comprising the steps of:  
providing a corrections-additions database residing on said mass storage  
25 system, wherein said corrections-additions database contains a first correction  
data entry; and

parsing said first correction data entry and said first raw IR control entry to create said processed first IR control entry.

11. The method of Claim 6, wherein said system contains a first computer and a second computer;

5 wherein said mass storage system contains a first mass storage system coupled to said first computer and a second mass storage system coupled to said second computer;

10 wherein said IR control database includes a first instance of said IR control database residing on said first mass storage system coupled to said first computer;

wherein said receiving step creates said received first IR control entry at said first computer;

15 wherein said insertion step inserts said received first IR control entry at said first computer to create said first IR control entry of said first instance of said IR control database residing on said first mass storage system;

wherein said raw IR control library resides on said second mass storage system containing said first raw IR control entry;

wherein said library parsing step creates said processed first IR control entry by said second computer; and

20 wherein said communication step communicates said processed first IR control entry by said second computer to create said first IR control entry of said IR control database.

12. The method of Claim 11, wherein said system further includes a server computer system;

25 wherein said receiving step creates a received first IR control entry by said server computer system; and

said communication step includes the steps of:

providing communication of said processed first IR control entry by

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said second computer to create said first IR control entry by said server computer system; and

providing communication of said processed first IR control entry by said server computer system to create said first IR control entry of said first instance of said IR control database by said first computer.

13. The method of Claim 6, wherein said first IR control entry of said IR control database includes a first IR control syntax specification.

14. The method of Claim 13, wherein said first IR control syntax specification includes a number of digits in a channel specification.

15. The method of Claim 13, wherein said first IR control syntax specification entry includes a delimiter specification ending an IR transmission.

16. The method of Claim 13, wherein said first IR control syntax specification entry includes a delay specification between digits of an IR transmission.

17. The method of Claim 13, wherein said first IR control syntax specification entry includes a prefix specification.

18. The method of Claim 17, wherein said prefix specification includes an A/B switch prefix selection.

19. The method of Claim 17, wherein said prefix specification includes an A/B/C switch prefix selection.

20. An apparatus for providing control of a set-top box with an IR signal, comprising:

a mass storage system;

an IR control database residing on said mass storage system wherein said IR control database contains at least one IR control entry;

an IR control packet, wherein said IR control packet is generated from a first IR control entry of said IR control database; and

a transmitter that controls said set-top box by transmitting said IR control packet thereto.

5 21. The apparatus of Claim 20, said IR control packet further comprising:

an IR control waveform based upon an IR waveform specification of said first IR control entry;

wherein said IR control packet is transmitted to said set-top box based upon said IR control waveform.

10 22. The apparatus of Claim 21, wherein said transmitter provides multiplexed serial transmission of said IR control packet to said set-top box based upon said IR control waveform.

15 23. The apparatus of Claim 21, wherein said transmitter provides queued multiplexed serial transmission of said IR control packet to said set-top box based upon said IR control waveform.

24. The apparatus of Claim 20, further comprising:

means for comprising a received IR control entry based upon reception of an IR control entry; and

20 means for comprising said first IR control entry of said IR control database based upon insertion of said received IR control entry to create.

25 25. The apparatus of Claim 24, further comprising:

a raw IR control library residing on said mass storage system, wherein said raw IR control library contains a first raw IR control entry;

means for parsing said first raw IR control entry of said raw IR control library to create a processed first IR control entry; and

means for communicating said processed first IR control entry to create said first IR control entry of said IR control database.

26. The apparatus of Claim 25, further comprising:

a raw IR control packet, wherein said IR control packet is generated from said first raw IR control entry of said raw IR control library; and  
wherein said control provides control to said set-top box by transmission of said raw IR control packet.

27. The apparatus of Claim 25, further comprising:

a prototype IR control database residing on said mass storage system containing a first prototype IR control entry;  
means for performing a control code search access on said prototype IR control database to select a first prototype IR control entry; and  
means for generating from said first IR control entry said first raw IR control entry of said raw IR control library.

28. The apparatus of Claim 27, further comprising:

a timing interface, wherein said first IR control packet is generated from said first prototype IR control entry of said prototype IR control database.

29. The apparatus of Claim 25, further comprising:

a corrections-additions database residing on said mass storage system, said corrections-additions database containing a first correction data entry; and  
means for parsing said first correction data entry and of said first raw IR control entry to create said processed first IR control entry.

30. The apparatus of Claim 25, wherein said system further comprises a first computer and a second computer;

wherein said mass storage system further comprises a first mass storage system coupled to said first computer and a second mass storage system coupled to said second computer;

wherein said IR control database comprises a first instance of said IR control database residing on said first mass storage system coupled to said first computer;

5 wherein said receiving creates said received first IR control entry at said first computer;

wherein said insertion inserts said received first IR control entry at said first computer to create said first IR control entry of said first instance of said IR control database residing on said first mass storage system;

10 wherein said raw IR control library resides on said second mass storage system containing said first raw IR control entry;

wherein said library parsing creates said processed first IR control entry by said second computer; and

15 wherein said communication communicates said processed first IR control entry by said second computer to create said first IR control entry of said IR control database.

31. The apparatus of Claim 30,

wherein said system further comprises a server computer system;

20 wherein said receiving creates a received first IR control entry by said server computer system; and

wherein said communication comprises:

communication of said processed first IR control entry by said second

computer to create said first IR control entry by said server computer system; and

25 communication of said processed first IR control entry by said server

computer system to create said first IR control entry of said first instance of said IR control database by said first computer.

32. The apparatus of Claim 25, wherein said first IR control entry of said IR control database comprises a first IR control syntax specification.

33. The apparatus of Claim 32, wherein said first IR control syntax specification comprises a number of digits in a channel specification.

5 34. The apparatus of Claim 32, wherein said first IR control syntax specification entry comprises a delimiter specification ending an IR transmitter.

35. The apparatus of Claim 32, wherein said first IR control syntax specification entry comprises a delay specification between digits of an IR transmitter.

10 36. The apparatus of Claim 32, wherein said first IR control syntax specification entry comprises a prefix specification.

37. The apparatus of Claim 36, wherein said prefix specification includes an A/B switch prefix selection.

15 39. The apparatus of Claim 36, wherein said prefix specification includes an A/B/C switch prefix selection.

39. A program storage medium readable by a computer, tangibly embodying a program of instructions executable by the computer to perform a method for controlling a set-top box with an IR signal, said method comprising the steps of:

20 providing an IR control database for residence on a mass storage system;  
providing reception of an IR control entry to create a received IR control entry;

providing insertion of said received IR control entry to create said first IR control entry of said IR control database;

25 providing an IR control packet, wherein said IR control packet is generated from a first IR control entry of said IR control database;



providing control to said set-top box by serial transmission of said IR control packet;

providing a raw IR control library residing on said mass storage system, wherein said raw IR control library contains a first raw IR control entry;

5 parsing said first raw IR control entry of said raw IR control library to create a processed first IR control entry;

communicating said processed first IR control entry to create said first IR control entry of said IR control database;

10 providing a corrections-additions database residing on said mass storage system, wherein said corrections-additions database contains a first correction data entry; and

parsing said first correction data entry and said first raw IR control entry to create said processed first IR control entry, wherein said IR control database contains at least one IR control entry.

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## METHOD AND APPARATUS FOR CONTROLLING AT LEAST ONE SET-TOP BOX

### Abstract

5 A method and apparatus for providing control of a set-top box through IR blasting  
control by a system containing at least one computer is discussed. The system is  
coupled to a mass storage system. The system includes an IR control database  
that resides on the mass storage system. The IR control database contains at  
least one IR control entry and an IR control packet. The IR control packet is  
10 generated from a first IR control entry of the IR control database. The system  
controls a set-top box by serial transmission of the IR control packet.

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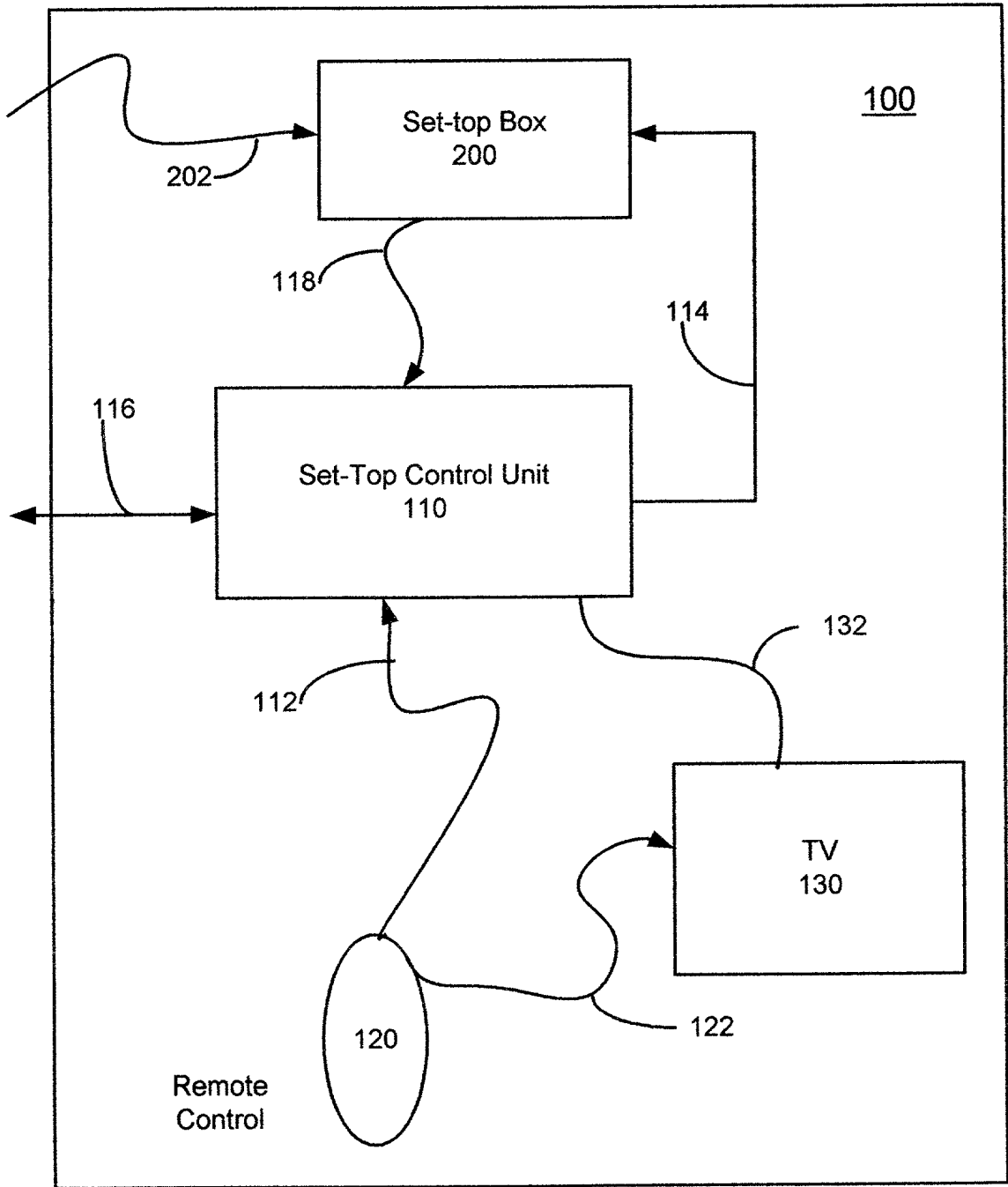


Fig. 1

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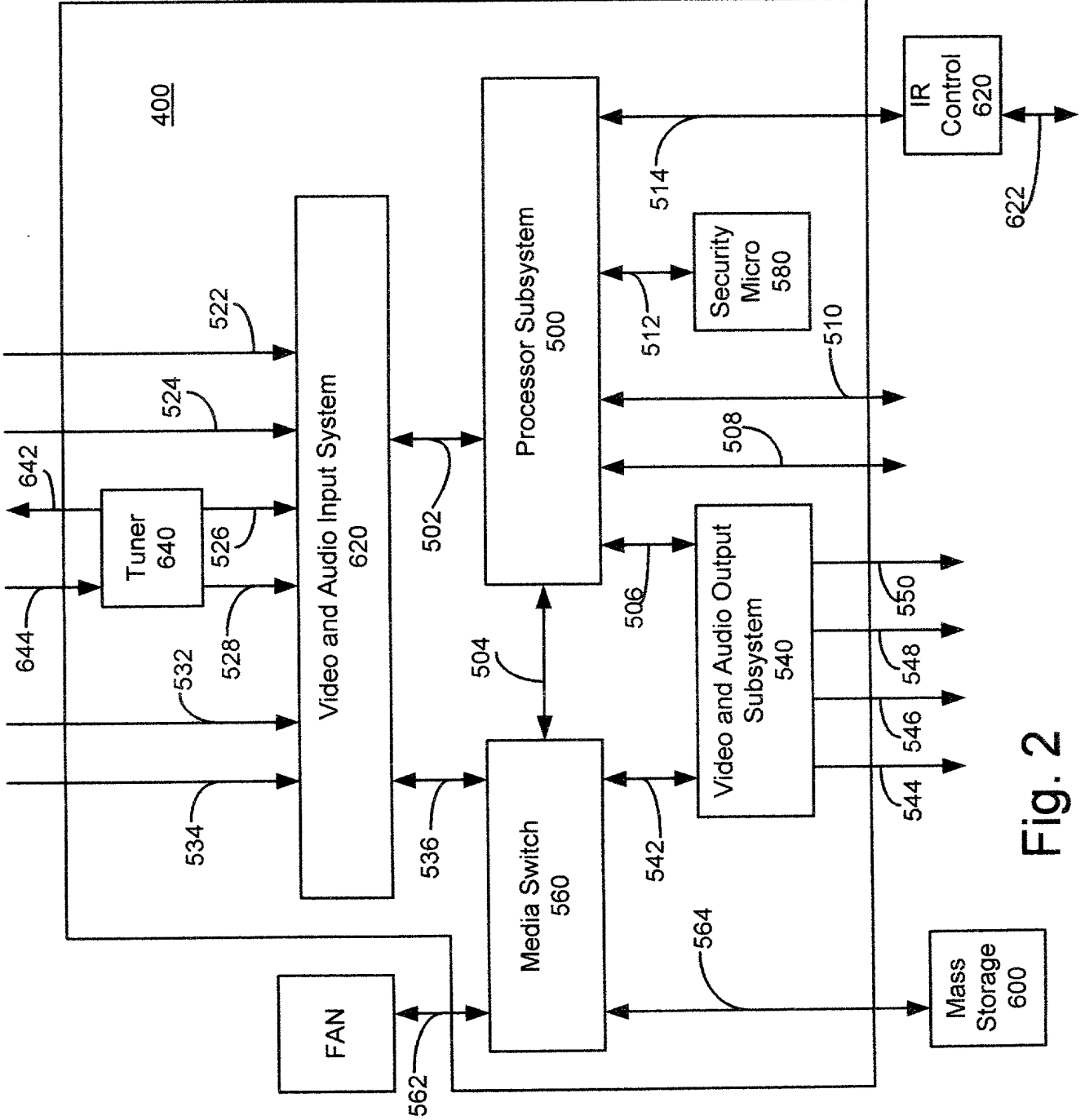


Fig. 2

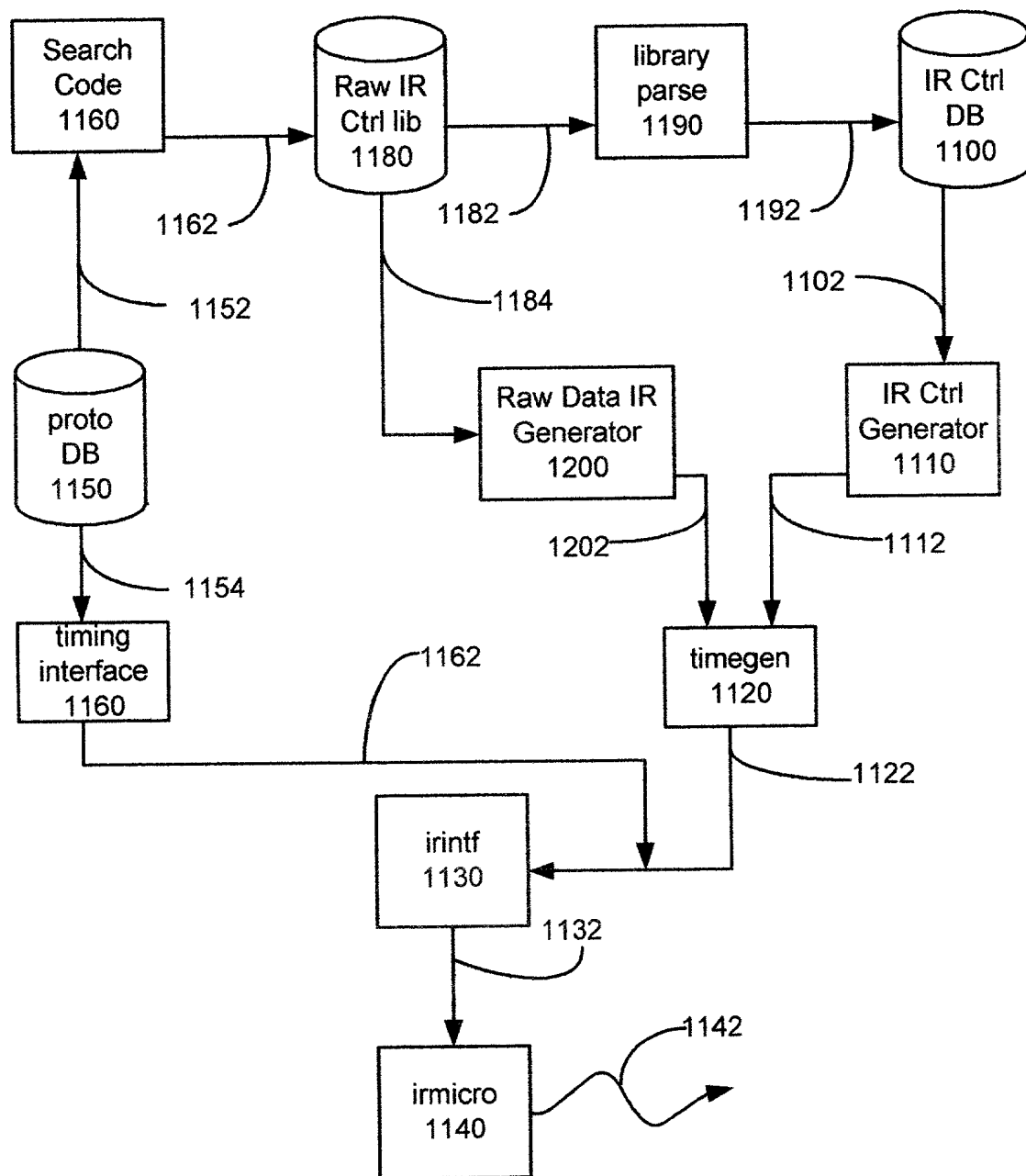


Fig. 3

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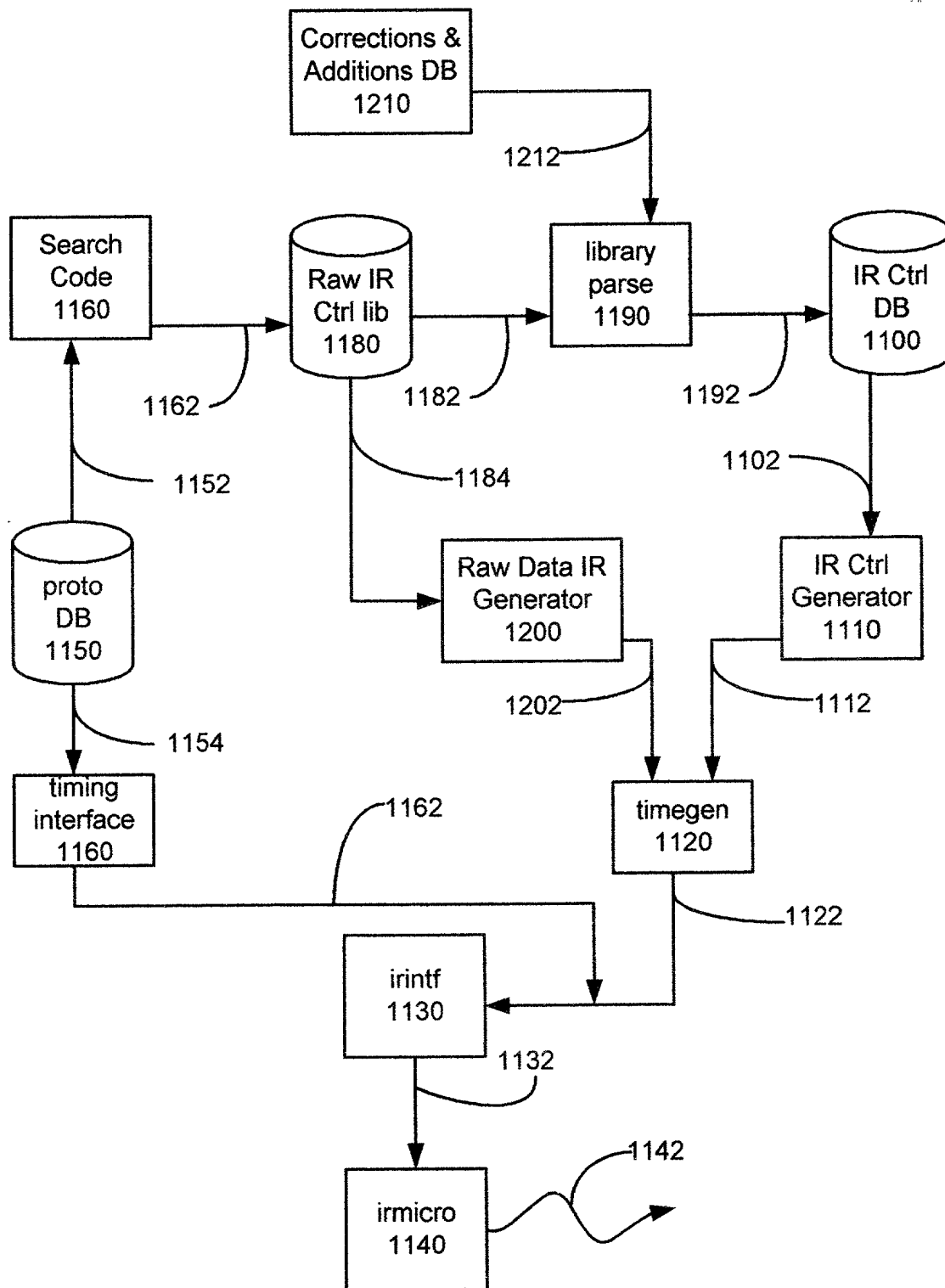


Fig. 4

Patented 07-24-2007

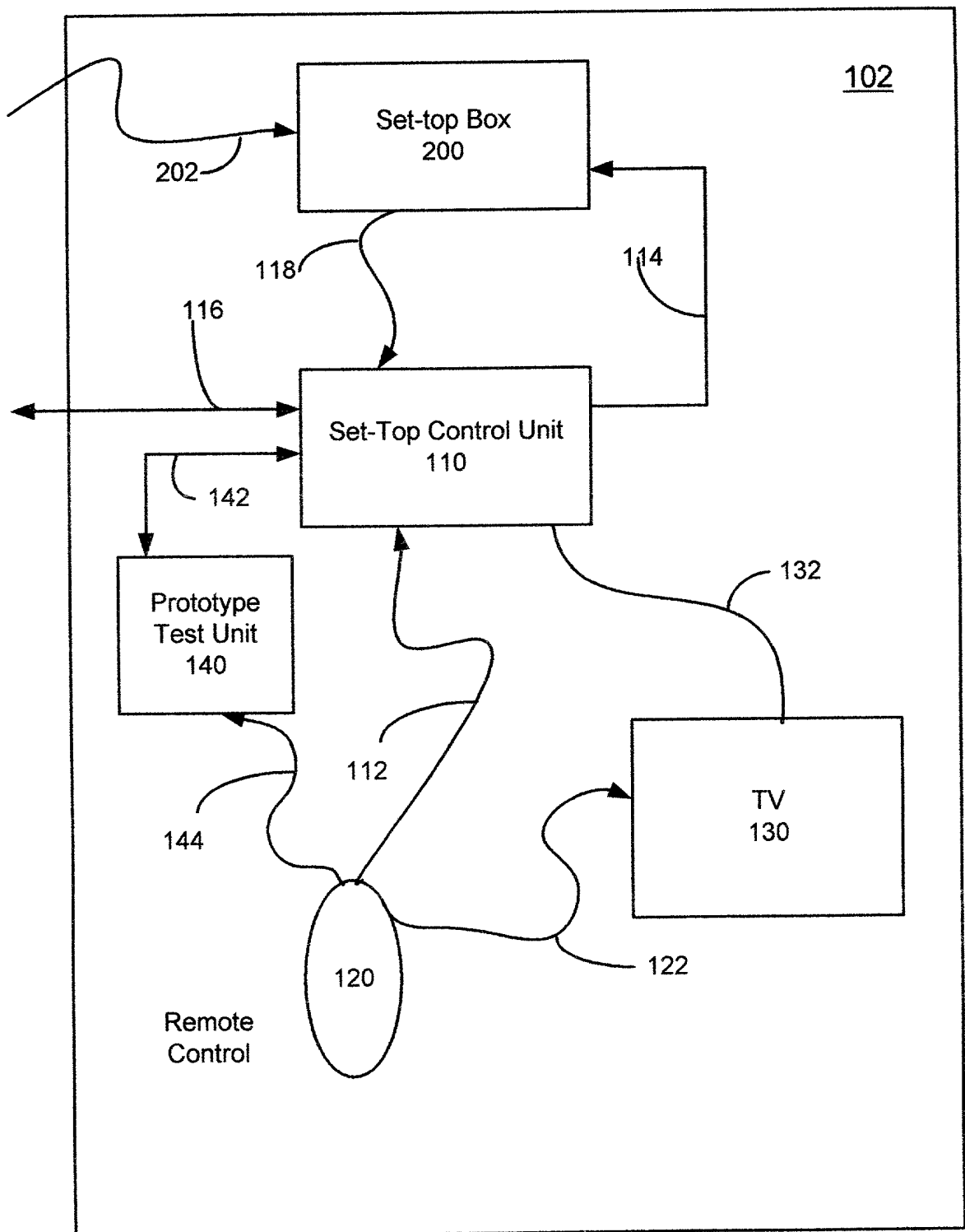


Fig. 5

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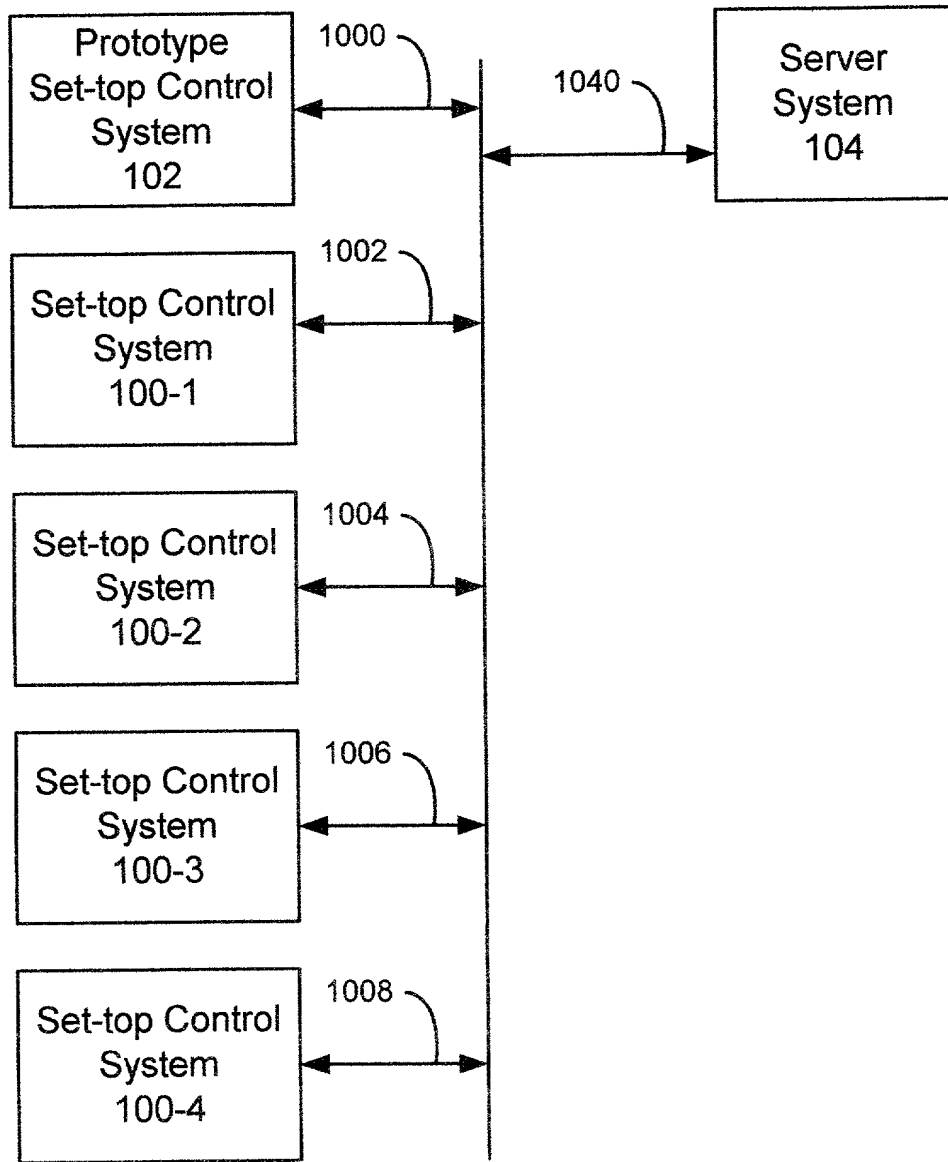


Fig. 6



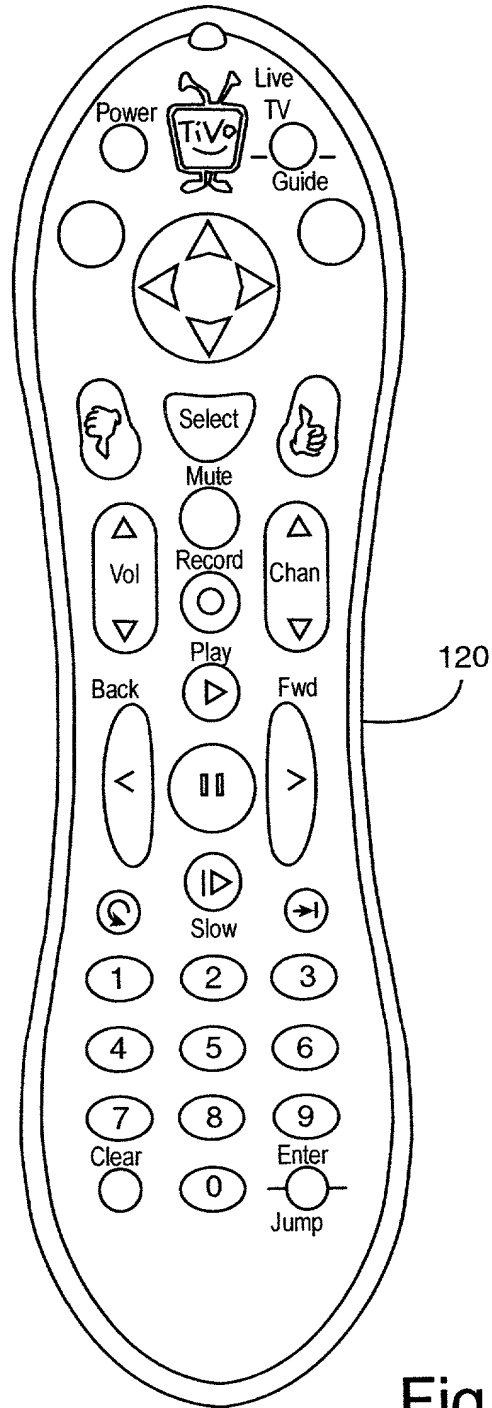


Fig. 7

## Appendix A

### CODELIST - Manufacturers Code Number Assignments

Table 13: TV

Maker (Brand) Name	Code Number (4 digit) List
A MARK	0091 0119
AKAI	0001 0144
AMPRO	0062 0151
AMSTRAD	0046
ANAM	0038 0048 0050 0068 0083 0087 0091 0097 0100
AOC	0001 0004 0091
BLAUPUNKT	0074
CANDLE	0001 0002 0003 0004
CAPEHART	0052
CETRONIC	0038
CITIZEN	0001 0002 0003 0004 0038 0080 0082 0119
CLASSIC	0038
CONCERTO	0004
CONTEC	0035 0038 0044 0045
CORONADO	0119
CRAIG	0038 0042 0048 0136 0137
CROWN	0038 0119
CURTIS MATHES	0001 0004 0080 0119
CXC	0038
DAEWOO	0004 0015 0016 0031 0038 0039 0049 0060 0065 0082 0086 0090 0093 0096 0099 0102 0104 0107 0114 0119
DAYTRON	0004 0119

Table 13: TV

Maker (Brand) Name	Code Number (4 digit) List
DYNASTY	0038
DYNATECH	0056
ELECTROHOME	0023 0119
EMERSON	0001 0004 0005 0026 0038 0041 0042 0044 0045 0079 0119 0123 0124 0125 0136 0146
FISHER	0006 0051
FUNAI	0026 0038
FUTURETECH	0038
GE	0001 0004 0007 0008 0031 0050 0062 0063 0109 0120 0125 0127 0134 0148
GOLDSTAR	0004 0081 0091 0101 0119
HALL MARK	0004
HITACHI	0001 0004 0006 0008 0009 0010 0011 0022 0036 0064 0119 0126
INFINITY	0129
INKEL	0108
JBL	0129
JCPENNY	0001 0004 0007 0008 0023 0028 0080 0119 0127
JENSEN	0012
JVC	0031 0033 0071
KEC	0038
KENWOOD	0001
KLOSS	0002 0053
KMC	0119
KTV	0001 0038 0119 0146
LODGENET	0061

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Table 13: TV

Maker (Brand) Name	Code Number (4 digit) List
LOGIK	0061
LUXMAN	0004
LXI	0006 0014 0046 0069 0127 0129
MAGNAVOX	0001 0003 0004 0053 0054 0055 0057 0058 0119 0127 0129
MARANTZ	0001 0023 0129
MATSUI	0129
MEMOREX	0004 0006 0061
METZ	0074
MGA	0001 0004 0023 0026 0037 0043
MINERVA	0074
MITSUBISHI	0001 0004 0023 0026 0035 0037 0144
NAD	0014 0024
NEC	0001 0006 0015 0018 0023 0035 0050 0109 0113
NIKEI	0038
ONKING	0038
ONWA	0038
OPTONICA	0018 0069
PANASONIC	0031 0050 0068 0129
PHILCO	0001 0003 0004 0023 0038 0050 0053 0054 0057 0058 0119 0129
PHILIPS	0001 0003 0004 0033 0053 0059 0077 0119 0127 0129
PIONEER	0001 0004 0017 0022 0024 0025
PORTLAND	0004 0119
PROSCAN	0120

Table 13: TV

Maker (Brand) Name	Code Number (4 digit) List
PROTON	0004 0052 0091 0119 0152
QUASAR	0031 0050
RADIO SHACK	0004 0018 0038 0041 0119
RCA	0001 0004 0022 0023 0050 0063 0120 0127 0145 0148
REALISTIC	0006 0018 0038 0041
RUNCO	0109
SAMPO	0001 0004 0052
SAMSUNG	0004 0044 0075 0080 0084 0112 0119 0127
SANYO	0006 0019 0020 0030 0035 0047 0051 0070
SCOTT	0004 0026 0038 0042 0119
SEARS	0004 0006 0014 0026 0028 0051 0070 0080 0119 0127
SHARP	0004 0013 0018 0021 0026 0069 0119
SIEMENS	0074
SIGNATURE	0061
SONY	0036 0059 0067 0105 0138
SOUNDESIGN	0003 0004 0026 0038
SPECTRICON	0091
SSS	0004 0038
SUPRE MACY	0002
SYLVANIA	0001 0003 0004 0053 0054 0057 0058 0119 0127 0129
TANDY	0069
TATUNG	0050 0056
TECHNICS	0072

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Table 13: TV

Maker (Brand) Name	Code Number (4 digit) List
TECHWOOD	0004
TEKNIKA	0001 0002 0003 0004 0023 0026 0029 0038 0061 0080 0082 0119
TELEFUNKEN	0032 0040 0073 0142
TELERENT	0061
TERA	0133
TMK	0004
TOSHIBA	0006 0014 0028 0035 0045 0056 0080
TOTEVISION	0119
UNIVERSAL	0007 0008
VIDEO CONCEPTS	0144
VIDTECH	0004
WARDS	0004 0007 0008 0018 0026 0054 0055 0057 0058 0061 0063 0119 0129
YAMAHA	0001 0004
YORK	0004
YUPITERU	0038
ZENITH	0061 0062 0078 0082
ZONDA	0091

Table 14: AUDIO

Maker (Brand) Name	Code Number (4 digit) List
ADCOM	1068 1078 1122
AIWA	1013 1045 1089 1116 1117
AKAI	1106
ANAM	1016 1154 1155
B&K	1082
BOSE	1109
CARVER	1008 1024 1026 1046 1052 1123
CLARION	1023
DENON	1003 1029 1127
ENLIGHTENED	1085
EVERQUEST	1022
FISHER	1008 1026 1063
FOSGATE AUDIONIC	1128
GOLDSTAR	1010 1158
HARMAN KARDON	1128
HITACHI	1017 1168
INKEL	1107
JCPENNY	1009 1087
JENSEN	1049
JVC	1011
KENWOOD	1023 1107 1112
LEXICON	1103 1130 1132 1133
LOTTE	1157 1159
LUXMAM	1030

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Table 14: AUDIO

Maker (Brand) Name	Code Number (4 digit) List
LXI	1064
MAGNAVOX	1024 1072
MARANTZ	1024 1035 1047 1053 1055 1073
MCINTOSH	1134
MCS	1009
MITSUBISHI	1138
NAD	1025
NAKAMICHI	1035 1140
NILES	1141
ONKYO	1014 1039 1092 1093 1099 1149
OPTIMUS	1019 1023 1036
PANASONIC	1007 1028 1074 1160 1166
PHILIPS	1024 1073 1145
PIONEER	1001 1034 1040 1059 1076
QUASAR	1007 1074
RCA	1042 1101
REALISTIC	1002 1004 1016 1020 1064 1081
ROTEL	1061 1069 1071
SAMSUNG	1163 1164 1165
SANSUI	1024 1035 1095 1102
SANYO	1153
SCOTT	1016 1077
SHARP	1023 1048 1080 1111 1112 1169
SHERWOOD	1021 1033
SONY	1045 1070 1086 1120 1143

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Table 14: AUDIO

Maker (Brand) Name	Code Number (4 digit) List
SOUNDESIGN	1031
SSI	1058
TAEKWANG	1106 1152 1161
TEAC	1016 1050 1051 1156
TECHNICS	1007 1074 1088 1094 1104 1115
TOSHIBA	1097
VICTOR	1011 1091
YAMAHA	1018 1023 1027 1057 1148 1167
ZANTEK	1147

CONFIDENTIAL

Table 15: VCR

Maker (Brand) Name	Code Number (4 digit) List
ADMIRAL	2046
AIWA	2030
AKAI	2014 2037 2039 2098 2112
AMPRO	2065
ANAM	2027 2029 2078
AUDIO DYNAMICS	2010 2020 2034 2037
BROKSONIC	2031 2033 2099
CANON	2024 2027 2029
CAPEHART	2083
CRAIG	2002 2035 2105
CURTIS MATHES	2027 2029
DAEWOO	2004 2006 2009 2015 2058 2083 2085 2086
DAYTRON	2083
DBX	2010 2020 2034 2037
DYNATECH	2030 2046
ELECTROHOME	2052
EMERSON	2005 2015 2022 2023 2025 2027 2030 2031 2032 2033 2039 2076 2099 2101 2108
FISHER	2002 2007 2009
FUNAI	2030
GE	2027 2029 2056 2065 2082 2084 2110 2113
GO VIDEO	2102
GOLDSTAR	2010 2011 2017 2076 2089 2096
HARMAN KARDON	2010 2038
HITACHI	2011 2030 2037 2056 2116

Table 15: VCR

Maker (Brand) Name	Code Number (4 digit) List
INSTANTREPLAY	2027 2029
JCL	2027 2029
JCPENNY	2010 2011 2027 2029 2035 2076
JENSEN	2037
JVC	2010 2027 2029 2037 2041 2053 2100 2116 2118
KENWOOD	2010 2012 2034 2037 2041
LLOYD	2030
LXI	2011 2012 2030 2076
MAGIN	2035
MAGNAVOX	2027 2029 2030 2060 2061
MARANTZ	2010 2027 2029 2060 2062
MARTA	2076
MATSUI	2023 2026
MEI	2027 2029
MEMOREX	2002 2009 2012 2027 2029 2030 2046 2065 2076 2104 2109
MGA	2038 2039 2052
MINOLTA	2011 2017
MITSUBISHI	2011 2017 2038 2039 2042 2044 2052 2054
MULTITECH	2021 2030
NEC	2010 2020 2034 2037 2041
NORDMENDE	2037
OPTONICA	2046 2047
PANASONIC	2027 2063 2067 2103
PENTAX	2011 2017 2027 2029 2056
PHILCO	2027 2029 2030 2060

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Table 15: VCR

Maker (Brand) Name	Code Number (4 digit) List
PHILIPS	2027 2029 2030 2047 2060 2064 2076
PILOT	2076
PIONEER	2011 2018 2041
PORTLAND	2083
PROSCAN	2088
PULSAR	2065
QUARTZ	2001 2012
QUASAR	2027 2029
RCA	2011 2017 2027 2029 2056 2082 2084 2088 2110 2113
REALISTIC	2002 2007 2009 2012 2027 2029 2030 2035 2046 2047 2076
RICO	2051
RUNCO	2065
SALORA	2012
SAMSUNG	2015 2028 2035 2080 2082 2084 2088 2090 2098
SANSUI	2037 2041 2105
SANYO	2002 2006 2009 2012 2104
SCOTT	2015 2033 2088 2099 2101
SEARS	2002 2007 2009 2011 2012 2017 2027 2076
SHARP	2027 2046 2047
SHINTOM	2021
SIGNATURE 2000	2046
SONY	2002 2027 2045 2046 2049 2050 2051
SOUNDESIGN	2030
STS	2011
SYLVANIA	2027 2029 2030 2052 2060

Table 15: VCR

Maker (Brand) Name	Code Number (4 digit) List
SYMPHONIC	2030
TANDY	2009 2030
TATUNG	2010 2034 2037
TEAC	2010 2030 2034 2037
TECHNICS	2027 2029
TEKNIKA	2016 2027 2029 2030 2076
THOMAS	2030
TMK	2005
TOSHIBA	2007 2011 2015 2052 2074 2101 2116
TOTEVISION	2035 2076
UNITECH	2035
VECTOR RESEARCH	2010
VICTOR	2041
VIDEO CONCEPTS	2010 2030 2039
VIDEOSONIC	2035
WARDS	2002 2011 2015 2021 2027 2029 2030 2035 2046 2047 2101
WORDS	2065
YAMAHA	2010 2030 2034 2037
ZENITH	2030 2041 2049 2051 2065 2072 2076

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## DECLARATION FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name;

I believe I am the original, first, and sole inventor (if only one name is listed below) or an original, first, and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

### METHOD AND APPARATUS FOR CONTROLLING AT LEAST ONE SET-TOP BOX

the specification of which (check one) ☒ is attached hereto, or ☐ was filed on \_\_\_\_\_ as Application Serial No. \_\_\_\_\_ and was amended on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)

Priority Claimed  
Yes No

Number Country Day/Month/Year Filed

Number Country Day/Month/Year Filed

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith:

MICHAEL A. GLENN, Reg. No. 30,176  
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KIRK D. WONG, REG. NO. 43,284  
EARLE W. JENNINGS, Reg. No. 44,804  
CHRISTOPHER PEIL, Reg. No. 45,005

SEND CORRESPONDENCE TO:

MICHAEL A. GLENN, 3475 Edison Way, Suite L, Menlo Park, CA 94205

I hereby claim the benefit under Title 35, United States code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, Section 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

60/131,532                      4/29/99                      PROVISIONAL - PENDING  
Application Ser. No.              Filing Date                      Status: Patented, Pending, Abandoned

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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Inventor's signature                       Date

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Citizenship United States

Full name of second joint inventor: ALAN WATERMAN

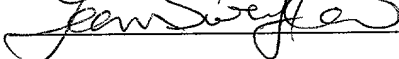
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Date

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Citizenship United States of America

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Inventor's signature                       1/2/00  
Date

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Citizenship United States of America

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